



Attorney File No. 161,700-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BARBUT, Denise R.

Serial No. 10/052,688

Filed: January 18, 2002

For: PARTIAL AORTIC OCCLUSION
DEVICES AND METHODS FOR
CEREBRAL PERfusion
AUGMENTATION

Group Art Unit: 3763

Examiner: M. Thompson

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO–1449 provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

June 30, 2004
Date of Deposit
IR1:1049602.1

Cynthia Pacheco
Cynthia P. Pacheco

for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above, but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311. Accordingly, please charge Deposit Account No. 50-2862 the fee of \$180.00. A duplicate copy of this document has been enclosed for processing purposes.

Copies of the international reference(s) listed on the attached Form PTO-1449 are enclosed herewith.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

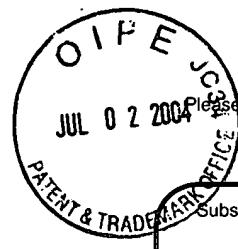
Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: June 30, 2004 By Diane K. Wong
Diane K. Wong
Reg. No. 54,550

JCK/kmg

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PTO/SB/08A (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

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Complete if Known	
Application Number	10/052,688
Filing Date	January 18, 2002
First Named Inventor	BARBUT et al.
Group Art Unit	3763
Examiner Name	M. Thompson
Attorney Docket Number	161,700-040

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number			
AA		3,692,018	Goetz et al.	09/19/1972	
AB		3,720,200	Laird	03/13/1973	
AC		4,701,160	Lindsay et al.	10/20/1987	
AD		4,712,566	Hök	12/15/1987	
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AF		4,853,669	Guckel et al.	08/01/1989	
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AM		5,891,012	Downey et al.	04/06/1999	
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AO		6,555,057	Barbut et al.	04/29/2003	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)		
AQ	WO	99/15227	B1	Macoviak	05/14/1999	
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AX						
BA						
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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